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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	100725-37/Kreisler 1108-KGB
First Inventor	Prof. Dr. Gerold SCHULER et al
Title	CD4+ DC25- T Cells and Tr1-like Regulatory T Cells
Express Mail Label No.	EV208799539US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 23]
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: Partial European Search Report; Express Mail Certificate

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: /

Prior application information: Examiner _____ Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 
(Insert Customer No. or Attach bar code label here)

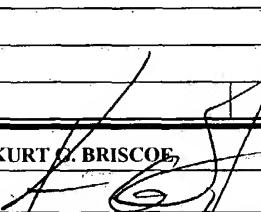
or Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type) **KURT G. BRISCOE**

Registration No. (Attorney/Agent)

33,141

Signature 

Date July 10, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

17858 U.S.PTO
10/618134



07/11/03

17611 U.S. PTO
07/11/03

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ **\$1,246.00**)

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Gerold SCHULER et al
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned
Attorney Docket No.	100725-37 / Kreisler 1108-KGB

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit Account Number **14-1263**

Deposit Account Name **Norris McLaughlin & Marcus**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non - English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
Total Claims 32 -20** = 12 X 18.00 = 216.00			
Independent Claims 3 -3** = 0 X 84.00 = 0.00			
Multiple Dependent 280.00 = 280.00			
Subtotal (1) (\$ \$750.00)			
2. EXTRA CLAIM FEES FOR UTILITY AND			
Extra Claims	Fee from below	Fee Paid	
Total Claims 32 -20** = 12 X 18.00 = 216.00			
Independent Claims 3 -3** = 0 X 84.00 = 0.00			
Multiple Dependent 280.00 = 280.00			
Subtotal (2) (\$ \$496.00)			
*or number previously paid, if greater; For Reissues, see above		*Reduced by Basic Filing Fee Paid	Subtotal (3) (\$)

Other fee (specify) _____

SUBMITTED BY

Name (Print/Type)	KURT G. BRISCOE	Registration No. (Attorney/Agent)	33,141	Telephone	212-808-0700
Signature			Date	JULY 10, 2003	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Attorney Docket No. 100725-37 / Kreisler 1108-KGB
Client Ref.: 022753us/JH/ml

APPLICANT: Prof. Dr. Gerold SCHULER et al

TITLE: CD4⁺ DC25⁻ T Cells and Tr1-like Regulatory T Cells

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number EV 208799539 US

Date of Deposit July 11, 2003

I hereby certify that the following items:

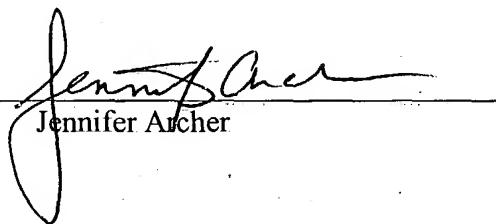
1. Utility Patent Application Transmittal
2. Application Data Sheet
3. Fee Transmittal in duplicate - **CHARGE DEPOSIT ACCOUNT**
4. Specification consisting of description (pages 1-16); 18 Claims (pages 1-20); Abstract (page 21); 4 Sheets of Drawings (Fig. 1-Fig. 4)
5. Information Disclosure Statement
6. Form PTO 1449 with copies of references
7. Copy of Communication; Partial European Search Report, and Incomplete Search Sheet C
8. Certified Copy of Priority Document EP 02018025.3
9. Return Receipt Postcard

are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the: Mail Stop Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

NORRIS, McLAUGHLIN & MARCUS, P.A.

By _____

Jennifer Archer



Express Mail No. EV208799539US

Docket No. 100725-37 / Kreisler 1108-KGB
022753us/JH/ml

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Prof. Dr. Gerold SCHULER et al

SERIAL NO. : To Be Assigned

FILED : Herewith

FOR : CD4⁺ DC25⁻ T Cells and Tr1-like Regulatory T Cells

ART UNIT : To Be Assigned

EXAMINER : To Be Assigned

July 11, 2003

Mail Stop Patent Application
Hon. Commissioner of Patents
PO Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF FORMAL DRAWINGS

Sir:

Submitted herewith for entry and approval are four (4) sheets of formal drawings (Fig. 1 - Fig. 4) in the above-referenced application. Each sheet of drawing indicates the identifying indica suggested in 37 CFR § 1.84(c) on the reverse side of the drawing.

Respectfully submitted,
NORRIS, McLAUGHLIN & MARCUS, P.A.

By

Kurt G. Briscoe
Reg. No. 33,141

KGB/ja

Attachments: - Formal Drawings (4 sheets)

220 East 42nd Street - 30th Floor
New York, New York 10017
Phone: (212) 808-0700.
Fax: (212) 808-0844

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Services as express mail in an envelope addressed to Hon. Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

By

Date

July 11, 2003